

# Symmetries in Geometric Engineering

Max Hübner (→ office 92104)



UPPSALA  
UNIVERSITET



2408.12600, 2406.08485, 2404.17639, 2401.09538, 2310.12980, 2307.13027,  
2305.09665, 2304.03300, 2212.09743, 2209.03343 and WIP

with B. Acharya, F. Baume, [N. Braeger](#), [V. Chakrabhavi](#),  
M. Cvetič, M. Del Zotto, R. Donagi, J. J. Heckman,  
C. Murdia, E. Torres, A. Turner, X. Yu, H. Y. Zhang

CGP Series, Wednesday October 9<sup>th</sup>, 2024

## Motivation: Recent Advances in QFT

- In well-known theories such as  $\text{QED}_4$  new symmetries were discovered [Choi, Lam, Shao; 2022]

$$d * j_A = \frac{1}{8\pi^2} F \wedge F$$

- Such categorical symmetries were also noticed throughout many theories [Kaidi, Ohmori, Zheng; 2021 & 2022], [Bhardwaj, Schäfer-Nameki, Wu; 2022], [Bartsch, Bullimore, Ferrari, Pearson; 2022], [Heckman, Hübner, Torres, Zhang; 2022], [Vladimir Bashmakov, Del Zotto, Hasan, Kaidi; 2022], [Cordova, Hong, Ohmori; 2022], [Cordova, Koren; 2022]

Symmetry  $\leftrightarrow$  Topological Operator

$$\mathcal{N} \otimes \mathcal{N}^\dagger \neq 1$$

- $\rightarrow$  What are the topological operators of a given QFT?  
[Gaiotto, Kapustin, Seiberg, Willett; 2014]

- Topological Operators  $\mathcal{N}$  support TFTs

[Kaidi, Ohmori, Zheng; 2021], [Choi, Cordova, Lam, Shao; 2021]



→ “ $\mathcal{N}$  is a Topological Brane within the ambient QFT $_d$ ”

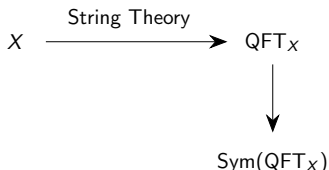
- Streamlined construction of topological operators? Non-Lagrangian methods?
- How to characterize the topological operators of a QFT $_d$ ?  
 (→ Symmetry Theory $_D$  in dimension  $D > d$ ) [Gaiotto, Kulp; 2021], [Apruzzi, Bonetti, García Etxebarria, Hosseini, Schäfer-Nameki; 2021], [Freed, Moore, Teleman; 2022]

## Motivation: String-constructed QFTs

- SCFT<sub>D</sub> in dimension  $D = 5, 6$  are strongly coupled and non-Lagrangian, easily constructed in string theory, and progenitor theories for many lower-dimensional QFTs

[Heckman, Morrison, Vafa; 2014], [Heckman, Morrison, Rudelius, Vafa; 2015], [Apruzzi, Lawrie, Lin, Schäfer-Nameki, Wang; 2019], [Closset, Del Zotto; 2020], ...

- Consider string backgrounds  $\mathbb{R}^{1,d-1} \times X$  constructing QFTs with spacetime  $\mathbb{R}^{1,d-1}$  and symmetries  $\text{Sym}(\text{QFT}_X)$

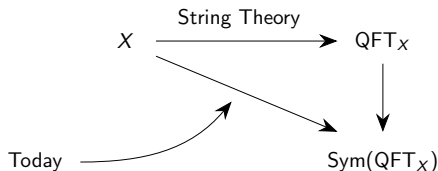


## Motivation: String-constructed QFTs

- SCFT<sub>D</sub> in dimension  $D = 5, 6$  are strongly coupled and non-Lagrangian, easily constructed in string theory, and progenitor theories for many lower-dimensional QFTs

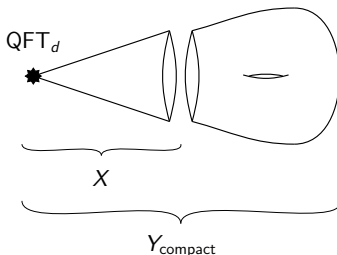
[Heckman, Morrison, Vafa; 2014], [Heckman, Morrison, Rudelius, Vafa; 2015], [Apruzzi, Lawrie, Lin, Schäfer-Nameki, Wang; 2019], [Closset, Del Zotto; 2020], [De Marco, Del Zotto, Graffeo, Sangiovanni; 2024] ...

- Consider string backgrounds  $\mathbb{R}^{1,d-1} \times X$  constructing QFTs with spacetime  $\mathbb{R}^{1,d-1}$  and symmetries  $\text{Sym}(\text{QFT}_X)$



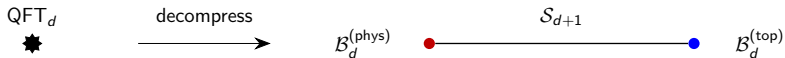
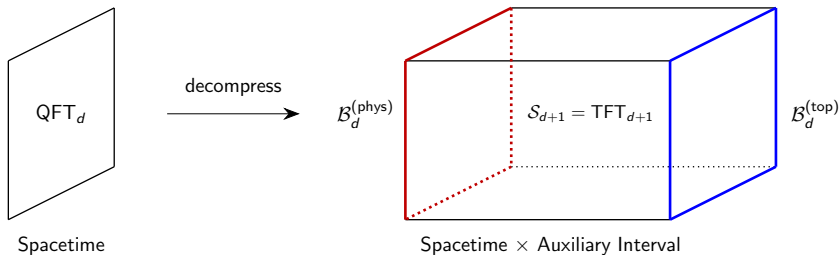
# Motivation: Quantum Gravity

- No-Global-Symmetries Hypothesis: There are no global symmetries in theories of Quantum Gravity [Banks, Seiberg; 2011], [Harlow, Ooguri; 2018], [McNamara, Vafa; 2019], [Heckman, Hübner, Murdia; 2024], ...
- String Theory  $\rightarrow$  UV completion of  $\text{QFT}_X$  to a theory of Quantum Gravity by local model construction  $X \subset Y_{\text{compact}}$   
[Cvetič, Heckman, Hübner, Torres; 2023]



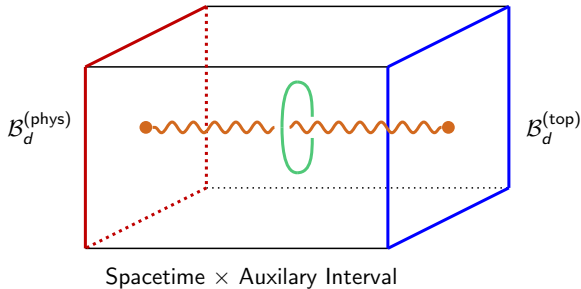
## Field Theories and their Symmetry Theories

- Q: What structures govern symmetries in QFT?  
(Discrete Symmetries) [Aharony, Seiberg, Tachikawa; 2013], ...
- A: Symmetries of a  $\text{QFT}_d \rightarrow \text{TFT}_{d+1} + \text{Boundary Conditions}$   
[Gaiotto, Kulp; 2021], [Apruzzi, Bonetti, García Etxebarria, Hosseini, Schäfer-Nameki; 2021], [Freed, Moore, Teleman; 2022]
- Benefits: 1) separate the topological symmetry data of the QFT from non-topological data, 2) describe the QFT and all its gaugings simultaneously



The auxiliary interval is completely auxiliary.

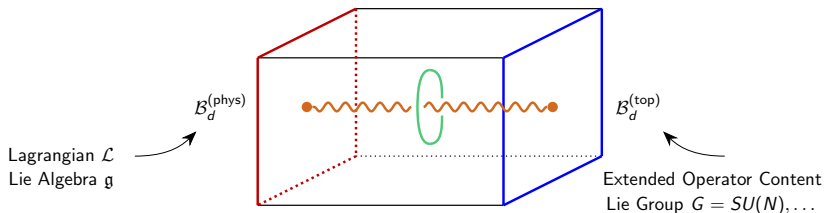




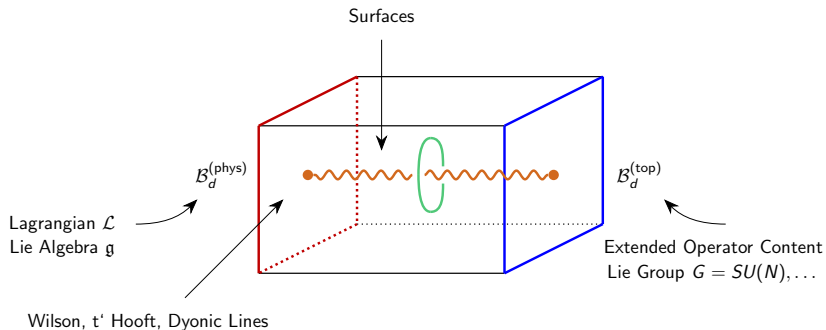
Green: Symmetry Operator

Brown: Defect Operator

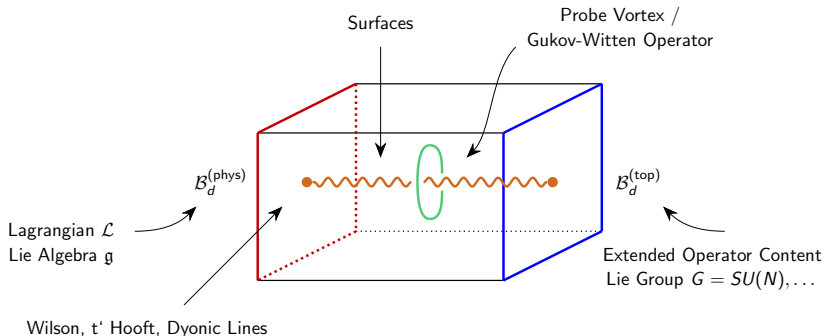
# Example: (S)YM Theory in dimension $D = 4$



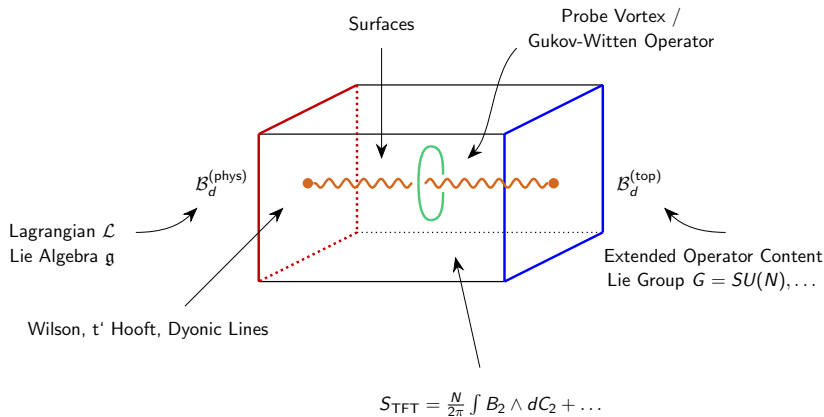
# Example: (S)YM Theory in dimension $D = 4$



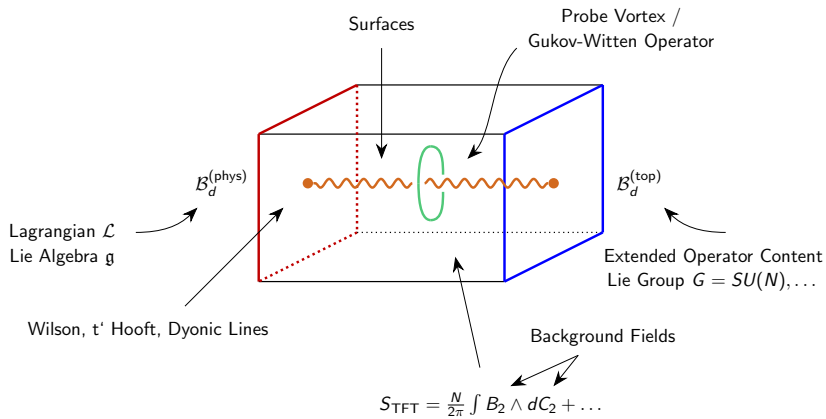
# Example: (S)YM Theory in dimension $D = 4$



# Example: (S)YM Theory in dimension $D = 4$



# Example: (S)YM Theory in dimension $D = 4$



## Generalizations: SymTrees and Cheesesteaks

Set of Data to be specified:

- Extra-dimensional support  $\leftrightarrow$  manifold with boundary
- Field Content  $\leftrightarrow$  Symmetries of the QFT
- TFT Action  $\leftrightarrow$  Quantum Dual Symmetries, Anomalies
- Boundary Conditions  $\leftrightarrow$  Edgemodes + Global Form

How is this data mapped under RG flow / limits in moduli space?  
How to incorporate non-discrete symmetries?

## Generalizations: SymTrees and Cheesesteaks

Set of Data to be specified:

- **Extra-dimensional support**  $\leftrightarrow$  **manifold with boundary**
- Field Content  $\leftrightarrow$  Symmetries of the QFT
- TFT Action  $\leftrightarrow$  Quantum Dual Symmetries, Anomalies
- Boundary Conditions  $\leftrightarrow$  Edgemodes + Global Form

How is this data mapped under RG flow / limits in moduli space?  
How to incorporate non-discrete symmetries?

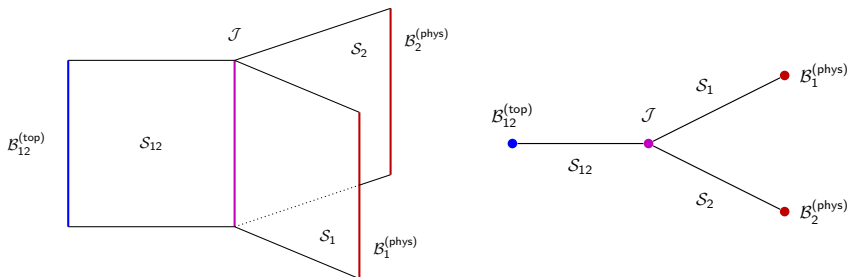


## Generalizations: SymTrees

Limits decoupling modes  $\rightarrow$  QFT splits into multiple sectors

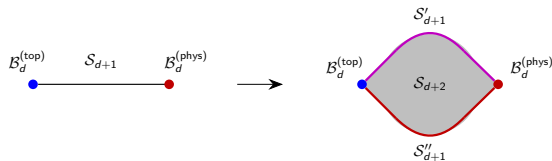
Each sector has its own symmetry theory, combining to the overall symmetry theory via junction associated with the decoupled data

[Baume, Heckman, Hübner, Torres, Turner, Yu; 2023]



## Generalizations: Closed Cheesesteaks

If we can decompress a QFT into a system in one dimension higher, can we iterate this operation? [Cvetič, Donagi, Heckman, Hübner, Torres; 2024]

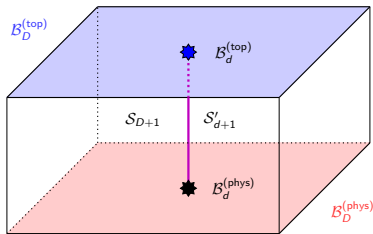


Manifold with Boundary  $\rightarrow$  Manifold with Corners

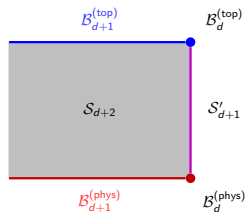
[Cordova, Holfester, Ohmori; 2024], [Cvetič, Donagi, Heckman, Hübner, Torres; 2024], [García Etxebarria, Huertas, Uranga; 2024], [Bhardwaj, Pajer, Schäfer-Nameki; 2024], [Choi, Rayhaun, Zheng; 2024]

# Generalizations: Open Cheesesteaks

Very natural for given  $\text{QFT}_d \subset \text{QFT}_D$ : [Cvetič, Donagi, Heckman, Hübner, Torres; 2024]



(i)



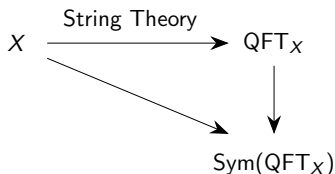
(ii)

(i)  $\rightarrow$  (ii) : Reorganizing via compactification  $B_D^{(\text{phys})} \rightarrow B_{d+1}^{(\text{phys})}$

## Geometric Engineering

Punchline: All these extra-dimensional structures arise naturally in the Geometric Engineering of QFTs

Setup: non-compact, singular space  $X$  (purely geometric background)



$X = \mathbb{C}^n/\Gamma$ , Elliptic CYs (eg. NHCs),  $G_2$ -Orbifolds, ...

$\text{QFT}_X =$  Gauge Theories, SCFTs, Non-Supersymmetric QFTs, ...

## Geometric Engineering

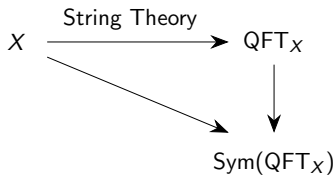
Organization: Singular Locus  $\mathcal{S} \subset X$

$$\mathcal{S}_0 \subset \mathcal{S}_1 \subset \dots \mathcal{S}_l \subset X$$

→ # **Connected Components** + **Depth**  $l$

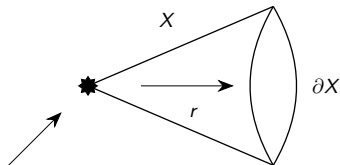
Example:  $X = \mathbb{C}^2/\mathbb{Z}_N(1, -1), \widetilde{\mathbb{C}^2/\mathbb{Z}_N(1, -1)}, \mathbb{C}^3/\mathbb{Z}_{2n}(1, 1, -2)$

Setup: non-compact, singular space  $X$  (purely geometric background)



## Case 0: Isolated Singularities

Sketch of Geometry:



Isolated Singularity  
Localized Degrees of Freedom

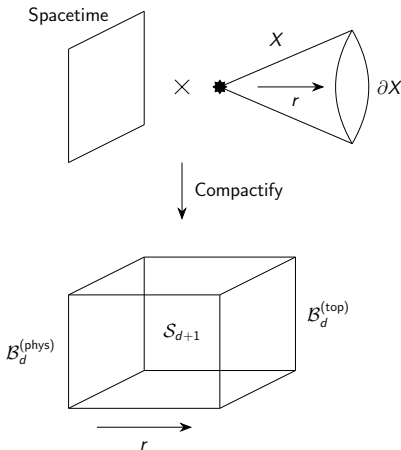
Example:  $X = \mathbb{C}^2/\mathbb{Z}_N$

Example in M-theory:  $\text{QFT}_X = 7\text{D SYM}$

Example in IIB:  $\text{QFT}_X = 6\text{D } (2,0) A_{N-1} \text{ SCFT}$

## Case 0: Symmetry Theory

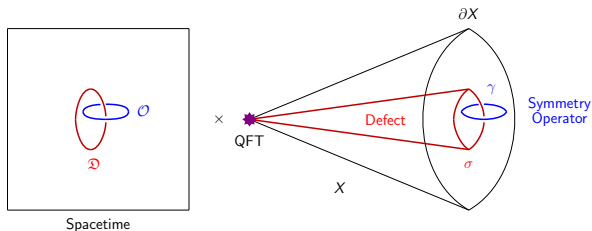
- 1) Compactify on constant radius slices [Apruzzi, Bonetti, García Etxebarria, Hosseini, Schäfer-Nameeki; 2021]
- 2) Restrict to Topological Sector
- 3) Localized modes  $\rightarrow \mathcal{B}_d^{\text{phys}}$
- 4) Supergravity BCs  $\rightarrow \mathcal{B}_d^{\text{top}}$



## Case 0: Symmetry and Defect Operators

Using Branes: [Del Zotto, Heckman, Park, Rudelius; 2015], [Morrison, Schäfer-Nameki, Willett; 2020], [Lakshya Bhardwaj, MH, Schäfer-Nameki; 2021], . . . , [García Etxebarria; 2022], [Apruzzi, Bah, Bonetti, Schäfer-Nameki; 2022], [Heckman, MH, Torres, Zhang; 2022], [Del Zotto, Heckman, Meynet, Moscrop, Zhang; 2022], . . .

Build **Defect Operators** (eg. Wilson, 't Hooft lines) and **Symmetry Operators** (eg. Gukov-Witten operators)



First goal achieved: Non-Lagrangian streamlined construction of topological operators



## Key Observation

We fibered the Geometry  $X$

$$\partial X|_r \hookrightarrow X \rightarrow \text{Interval}_r$$

and compactified on the fibers.

This was a **local** construction, i.e., we can apply it to **any fibration**

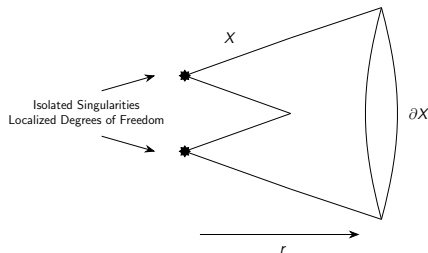
$$F \hookrightarrow X \rightarrow B$$

to produce a symmetry theory on the base  $B$ .

[Baume, Heckman, Hübner, Torres, Turner, Yu; 2023], [Cvetič, Donagi, Heckman, Hübner, Torres; 2024]

## Case 1: Multiple Isolated Singularities

Sketch of Geometry:



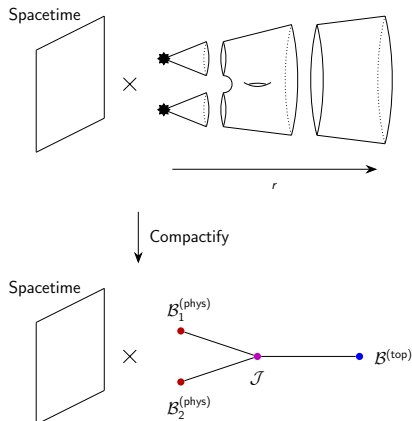
Example:  $X = \widetilde{\mathbb{C}^2/\mathbb{Z}_N}$

Example in M-theory:  $\text{QFT}_X = \text{Higgsed 7D SYM}$

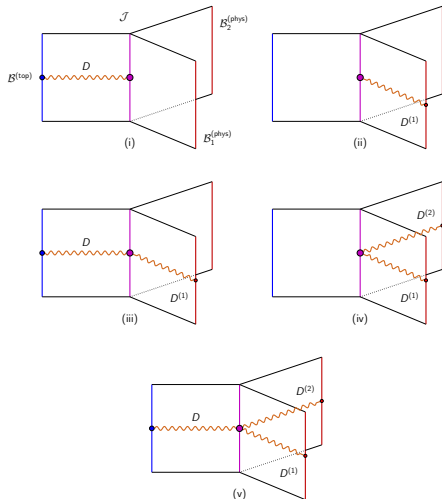
Example in IIB:  $\text{QFT}_X = \text{6D (2,0) } A_{N-1} \text{ SCFT on CB}$

## Case 1: SymTrees = SymTFTs + Junctions

- 1) Compactify on constant radius slices, possibly disconnected  
 [Baume, Heckman, Hübner, Torres, Turner, Yu; 2023]
- 2) Restrict to Topological Sector
- 3) Localized modes  $\rightarrow \mathcal{B}_1^{(\text{phys})}, \mathcal{B}_2^{(\text{phys})}$
- 4) Supergravity BCs  $\rightarrow \mathcal{B}^{(\text{top})}$



## Case 1: Symmetry and Defect Operators



Again, using Branes

1) build Defect Operators

2) build Symmetry Operators

These now interact with junction  $\mathcal{J}$

## Case 2: Non-Isolated Singularities

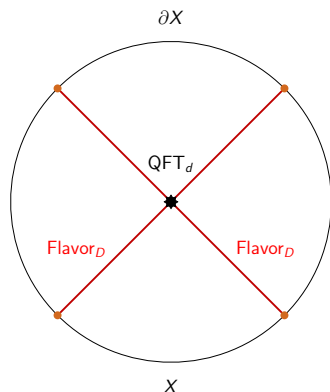
Sketch of Geometry:

“Intersecting Flavor Branes”

Example:  $X = \mathbb{C}^3 / \mathbb{Z}_{6n}(1, 2, -3)$

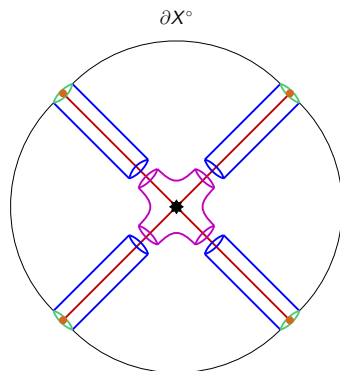
M-theory:  $\text{QFT}_X = 5\text{D SCFT}$

IIB:  $\text{QFT}_X = 4\text{D Theory of AD Type}$



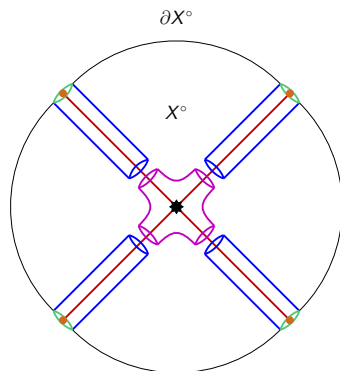
## Case 2: Cheesesteak = Symmetry TFTs + Corners

- 1) Consider generic Normal Geometry to the Flavor Brane
- 2) Construct the Symmetry Theory for the Flavor Brane



## Case 2: Cheesesteak = Symmetry TFTs + Corners

- 1) Consider generic Normal Geometry to the Flavor Brane
- 2) Construct the Symmetry Theory for the Flavor Brane
- 3) Consider exceptional Normal Geometry at singularity enhancement locus
- 4) Construct the Symmetry Theory for  $\text{QFT}_d$
- 5) . . . . .



Geometry  $X$  and tubular neighborhood  $T_{\mathcal{G}}$  are homotopic

$\Rightarrow$  Non-flat fibration of  $X$ :

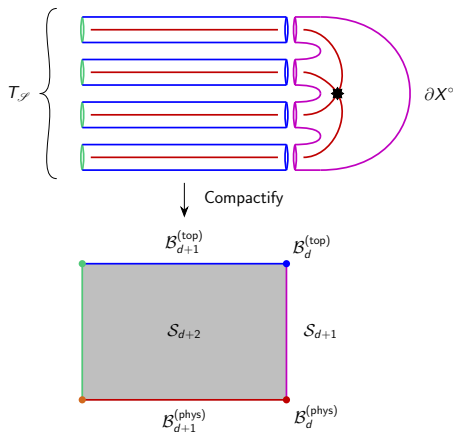
$$F \hookrightarrow X \rightarrow \text{Square}$$

[Cvetič, Donagi, Heckman, Hübner, Torres; 2024]

$\text{QFT}_d$  is a corner mode to  $\mathcal{S}_{d+2}$

$\text{QFT}_d$  is an end of the world mode to  $\mathcal{B}_{d+1}^{(\text{phys})}$

$\mathcal{S}_{d+1}$  is a boundary mode to  $\mathcal{S}_{d+2}$

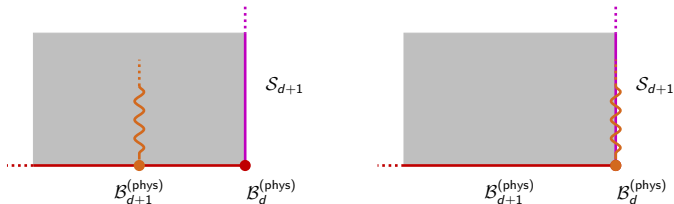




## Case 2: Symmetry and Defect Operators

Example, 2-group Symmetries: [Cvetič, Heckman, Hübner, Torres; 2022], [Del Zotto, García Etxebarria, Schäfer-Nameki; 2022], [Cvetič, Donagi, Heckman, Hübner, Torres; 2024]

Push Defect Operator of  $\mathcal{B}_{d+1}^{(\text{phys})}$  into  $\mathcal{B}_d^{(\text{phys})}$



For example, flavor lines  $W_F$  can decompose into  $\#$  color lines  $W_C$

$$W_F = W_C^\#$$

## Case 3: Generalizations

1) The general case with singular locus  $\mathcal{S} \subset X$

$$\mathcal{S}_0 \subset \mathcal{S}_1 \subset \dots \mathcal{S}_l \subset X$$

now follow from combining case 1 and 2.

## Case 3: Generalizations

1) The general case with singular locus  $\mathcal{S} \subset X$

$$\mathcal{S}_0 \subset \mathcal{S}_1 \subset \dots \mathcal{S}_l \subset X$$

now follow from combining case 1 and 2.

2) “Purely Geometric Backgrounds” are a non-physical notion. Duality relates geometric backgrounds to non-geometric backgrounds.

⇒ Starting point for extending to more general backgrounds

## Application: ~~Symmetries~~ in Quantum Gravity

What if  $X$  models some local patch of a compact space  $Y$ ?

Compact  $Y \Rightarrow$  String / M-theory on  $Y$  gives a theory of quantum gravity

No symmetries of  $\text{QFT}_X$  can remain

## Application: ~~Symmetries~~ in Quantum Gravity

What if  $X$  models some local patch of a compact space  $Y$ ?

Compact  $Y \Rightarrow$  String / M-theory on  $Y$  gives a theory of quantum gravity

No symmetries of  $\text{QFT}_X$  can remain

Example, singular K3 surfaces:  $Y = T^4/\mathbb{Z}_2$  [Cvetič, Heckman, Hübner, Torres; 2023]

Contains  $2^4 = 16$  patches modelled on  $X = \mathbb{C}^2/\mathbb{Z}_2$

Gluing patches to  $Y$  completes  $\text{QFT}_{\mathbb{C}^2/\mathbb{Z}_2}^{\otimes 16}$  to a theory of quantum gravity

## Application: ~~Symmetries~~ in Quantum Gravity

What if  $X$  models some local patch of a compact space  $Y$ ?

Compact  $Y \Rightarrow$  String / M-theory on  $Y$  gives a theory of quantum gravity

No symmetries of  $\text{QFT}_X$  can remain

Example, singular K3 surfaces:  $Y = T^4/\mathbb{Z}_2$  [Cvetič, Heckman, Hübner, Torres; 2023]

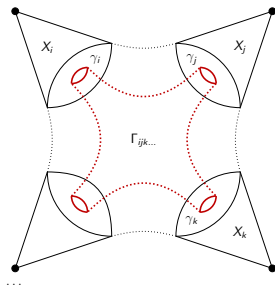
Contains  $2^4 = 16$  patches modelled on  $X = \mathbb{C}^2/\mathbb{Z}_2$

Gluing patches to  $Y$  completes  $\text{QFT}_{\mathbb{C}^2/\mathbb{Z}_2}^{\otimes 16}$  to a theory of quantum gravity

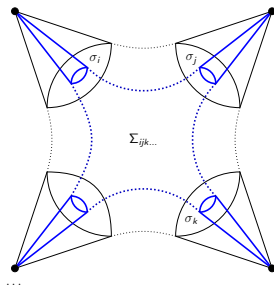
Gluing  $\Rightarrow$  Adding States, Breaking Symmetries, Gauging Symmetries

# Application: Symmetries in Quantum Gravity

Gluing  $\Rightarrow$  Adding States, Breaking Symmetries, Gauging Symmetries



(1) : Symmetry Operators



(2) : Defect Operators

Invertible symmetries: Formalized via Mayer-Vietoris long exact sequence

[Cvetič, Heckman, Hübner, Torres; 2023]

## Application: ~~SUSY~~ String Constructions

In examples so far  $X$  had special holonomy

⇒ covariantly constant spinors

⇒ supersymmetry

However, discrete symmetries are topological features and do not require holonomy to be extracted from  $X$

⇒ susy helped us understand **which theory** we were discussing

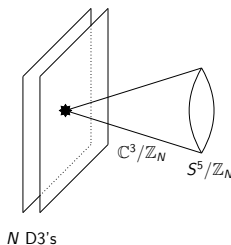
Now, consider supersymmetry-breaking backgrounds  $X$

⇒ conversely, topological considerations constrain the resulting theory



## Application: ~~SUSY~~ String Constructions

Example: D3-brane probes of  $X = \mathbb{C}^3/\mathbb{Z}_N$  with  $\mathbb{Z}_N \not\subset SU(3)$  [Chakrabhavi, Braeger, Heckman, Hübner; 2024], [Heckman, Hübner; 2024], [Chakrabhavi, Braeger, Heckman, Hübner; WIP]



Small 't Hooft Coupling: Tachyon in closed string sector  $\rightarrow$  Instability  
[Morrison, Narayan, Plesser; 2004], [Dymarsky, Klebanov, Roiban; 2005]

Large 't Hooft Coupling: Bubble of Nothing in AdS dual  $\rightarrow$  Instability'  
[Horowitz, Orgera, Polchinski; 2008]

# Application: ~~SUSY~~ String Constructions

Example: D3-brane probes of  $X = \mathbb{C}^3/\mathbb{Z}_N$  with  $\mathbb{Z}_N \not\subset SU(3)$

Instability is a function of 't Hooft coupling

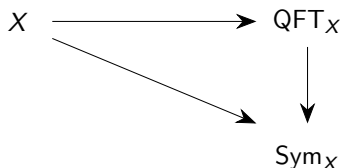
“Intermediate value theorem”: Evidence for transition between instability types, at some finite 't Hooft coupling

Celestial Considerations: Evidence for a non-susy D3-brane CFT

[Heckman, Hübner; 2024]

## Summary (Framework)

- We studied the geometric engineering map  $X \rightarrow \text{Sym}_X$



for singular geometric backgrounds  $X$ .

- The support of  $\text{Sym}_X$  was a CW-complex with edge / corner modes; some topological, some physical.
- In particular, we gave a perspective on non-Abelian symmetries in the  $\text{SymTFT}$  framework.

## Summary and Outlook

- We applied the mapping  $X \mapsto \text{Sym}_X$  to study
  - a) Decoupling limits in String Theory
  - b) Symmetry Inheritance for defects  $\text{QFT}_d \subset \text{QFT}_D$
  - c) No-Global-Symmetries Conjecture in QG
  - d) Non-supersymmetric string constructions

## Summary and Outlook

- We applied the mapping  $X \mapsto \text{Sym}_X$  to study
  - a) Decoupling limits in String Theory
  - b) Symmetry Inheritance for defects  $\text{QFT}_d \subset \text{QFT}_D$
  - c) No-Global-Symmetries Conjecture in QG
  - d) Non-supersymmetric string constructions
- Future directions could include
  - a) Brane systems, Class S (irregular punctures), ...
  - b) Geometrization of phase diagrams
  - c) Representation Theory for  $\text{Sym}_X$